

### 3. THE ARGUMENT PRINCIPLE

**3.1. The logarithm in the complex plane.** The exponential function is locally injective in  $\mathbb{C}$ . In fact, assume

$$e^z = e^t \implies e^{z-t} = 1.$$

Denote  $z - t = x + iy$ ,  $x, y \in \mathbb{R}$ . Then

$$1 = e^{x+iy} = e^x e^{iy} = e^x (\cos y + i \sin y) = 1$$

$\implies$

$$\begin{cases} e^x \cos y = 1 \\ e^x \sin y = 0. \end{cases}$$

Since

$$1 = |e^{z-t}| = e^x,$$

we see that  $x = 0$ . Then  $\cos y = 1$ ,  $\sin y = 0$  implies  $y = n \cdot 2\pi$ . Therefore, the nearest possible points  $z, t$  with  $e^z = e^t$  have a distance  $2\pi$ , and given any  $z_0$ ,  $e^z$  is injective in  $B(z_0, 2\pi)$ .

So, we can locally define the inverse function  $\log z$  for the exponential. Since

$$z = e^{\log z} = e^{\log z + n \cdot 2\pi i},$$

$\log z$  has infinitely many branches. Denoting  $u + iv = \log z$ , we get

$$z = e^{u+iv} = e^u e^{iv} \implies |z| = e^u \implies u = \log |z|$$

and

$$re^{i\varphi} = z = |z|e^{i\varphi} = e^u e^{iv}$$

and so we may take  $v = \varphi = \arg z$ . Hence

$$\log z = \log |z| + i \arg z + n \cdot 2\pi i$$

If  $\gamma$  is now a closed path in  $\mathbb{C}$ , and we consider  $\log z$  on  $\gamma$ , we easily see that return to the original branch appears, if the winding number around  $z = 0$  is zero; otherwise we move to another branch. So, if we have a domain  $G \subset \mathbb{C} \setminus \{0\}$ , then  $\log z$  is uniquely determined and analytic in  $G$ . This will be applied in the proof of Theorem 3.3.

**3.2. The argument principle.** Assume  $f(z)$  is analytic around  $z = a$  and has a zero of multiplicity  $m$  at  $z = a$ . Then  $f(z) = (z - a)^m g(z)$ ,  $g(a) \neq 0$ . Therefore,

$$\frac{f'(z)}{f(z)} = \frac{m}{z - a} + \frac{g'(z)}{g(z)}. \quad (3.1)$$

Since  $g(a) \neq 0$ ,  $g'(z)/g(z)$  is analytic around  $z = a$ . Similarly, if  $f(z)$  has a pole of order  $m$  at  $z = a$ , and  $f(z) = (z - a)^{-m} g(z)$ ,  $g(a) \neq 0$ , then

$$\frac{f'(z)}{f(z)} = -\frac{m}{z - a} + \frac{g'(z)}{g(z)}. \quad (3.2)$$

**Definition 3.1.** Assume that  $f: G \rightarrow \overline{\mathbb{C}}$  is analytic in an open set  $G \subset \mathbb{C}$  except for poles. Then  $f$  is said to be *meromorphic* in  $G$ .

**Theorem 3.2.** Assume that  $f: G \rightarrow \overline{\mathbb{C}}$  is meromorphic in a convex region  $G$  except for finitely many zeros  $a_1, \dots, a_n$  and poles  $b_1, \dots, b_m$ , each counted according to their multiplicity. If  $\gamma$  is a piecewise continuously differentiable closed path in  $G$  such that  $a_j \notin \gamma(I)$ ,  $j = 1, \dots, n$ , and  $b_j \notin \gamma(I)$ ,  $j = 1, \dots, m$ , then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(\zeta)}{f(\zeta)} d\zeta = \sum_{j=1}^n n(\gamma, a_j) - \sum_{j=1}^m n(\gamma, b_j).$$

*Proof.* By the same idea as in (3.1) and (3.2),

$$\frac{f'(z)}{f(z)} = \sum_{j=1}^n \frac{1}{z - a_j} - \sum_{j=1}^m \frac{1}{z - b_j} + \frac{g'(z)}{g(z)},$$

where  $g(z)$  is analytic non-zero in  $G$ . Since  $g'/g$  is analytic in  $G$ , residue theorem and the Cauchy theorem result in

$$\begin{aligned} \frac{1}{2\pi i} \int_{\gamma} \frac{f'(\zeta)}{f(\zeta)} d\zeta &= \frac{1}{2\pi i} \sum_{j=1}^n \int_{\gamma} \frac{1}{\zeta - a_j} d\zeta - \frac{1}{2\pi i} \sum_{j=1}^m \int_{\gamma} \frac{1}{\zeta - b_j} d\zeta \\ &= \sum_{j=1}^n n(\gamma, a_j) - \sum_{j=1}^m n(\gamma, b_j). \quad \square \end{aligned}$$

**Theorem 3.3.** (Rouché). Let  $f, g$  be meromorphic in a convex region  $G$  and let  $\overline{B(a, R)} \subset G$  be a closed disc. Suppose  $f, g$  have no zeros and no poles on the circle  $\gamma = \partial B(a, R) = \{z \in G \mid |z - a| = R\}$  and that  $|f(z) - g(z)| < |g(z)|$  for all  $z \in \gamma$ . Then

$$\mu_f - \nu_f = \mu_g - \nu_g,$$

where  $\mu_f, \mu_g$ , resp.  $\nu_f, \nu_g$ , are the number zeros, resp. poles, of  $f$  and  $g$  in  $\{z \in G \mid |z - a| < R\}$ , counted according to multiplicity.

*Proof.* By the assumption,

$$\left| \frac{f(z)}{g(z)} - 1 \right| < 1 \tag{3.3}$$

for all  $z \in \gamma$ . By the Theorem 3.2,

$$\begin{aligned} \frac{1}{2\pi i} \int_{\gamma} \frac{(f(\zeta)/g(\zeta))'}{(f(\zeta)/g(\zeta))} d\zeta &= \frac{1}{2\pi i} \int_{\gamma} \frac{f'(\zeta)}{f(\zeta)} d\zeta - \frac{1}{2\pi i} \int_{\gamma} \frac{g'(\zeta)}{g(\zeta)} d\zeta \\ &= \mu_f - \nu_f - (\mu_g - \nu_g), \end{aligned}$$

since the winding number of  $\gamma$  for all zeros and poles in  $\{z \in G \mid |z - a| < R\}$  equals to one. On the other hand, by (3.3),  $f/g$  maps  $\gamma$  into  $B(1, 1)$ , and so a fixed branch of  $\log(f/g)$  is a primitive of  $(f/g)'/(f/g)$ . Integrating over  $\gamma$ , the logarithm doesn't change the branch, hence  $\log(f/g)$  takes the same value at  $\gamma(0)$  and  $\gamma(1) = \gamma(0)$  resulting in

$$\frac{1}{2\pi i} \int_{\gamma} \frac{(f(\zeta)/g(\zeta))'}{(f(\zeta)/g(\zeta))} d\zeta = 0.$$

The assertion now follows.  $\square$