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A comment on "Using locally estimated geodesic distance to optimize neighborhood graph for isometric data embedding"

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ABSTRACT

A geodesic distance-based approach to build the neighborhood graph for isometric embedding is proposed to deal with the highly twisted and folded manifold by Wen et al. [Using locally estimated geodesic distance to optimize neighborhood graph for isometric data embedding, Pattern Recognition 41 (2008) 2226–2236]. This comment is to identify the error in their example and the ineffectiveness of their algorithm.

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PATTERN RECOGNITION

Wen et al. recently proposed an approach which deals with highly twisted and folded manifold for isometric data embedding [1]. The approach employs locally estimated geodesic distances to optimize a neighborhood graph which is usually constructed with Euclidean distances in some isometric embedding methods such as Isomap [2]. Unfortunately, the example given in Ref. [1] is incorrect, and the algorithm OptimizeNeighborhoodbyGeod(X, k, m, d) is ineffective. This comment aims at identifying the errors.

In Ref. [1], the initial neighborhood is determined by Euclidean distance, and then the local geodesic distance is estimated. Fig. 1, which is corresponding to Fig. 2 in Ref. [1], illustrates the process of the estimation. Let N(x) be a set of Euclidean distance based three nearest neighbors of a data point x, then $N(x) = \{x_1, x_2, x_3\}$, and $N(x_1) = \{x_{11}, x_{12}, x_{13}\}$. Let d(x, y) be the Euclidean distance between data point x and y. As x_1 is a neighbor of x, and x_{11} is neighbor of x_1 , applying triangle inequality theorem, we have

$$d(x, x_{11}) \leq d(x, x_1) + d(x_1, x_{11}).$$
(1)

Furthermore, x_{11} is not a neighbor of x, that implies

$$d(x, x_{11}) > d(x, x_i), \quad i = 1, 2, 3.$$
 (2)

From (1) and (2), we obtain

$$d(x, x_1) + d(x_1, x_{11}) > d(x, x_i), \quad i = 1, 2, 3.$$
(3)

That is to say, in Fig. 1, $d(x,x_1) = 2$, $d(x_1,x_{11}) = 5$ and $d(x,x_3) = 12$ cannot exist simultaneously. Accordingly, x_3 cannot be optimized into x_{11} , and for the same reason, x_2 cannot be optimized into x_{12} .

Based on the above analysis, the algorithm OptimizeNeighborhoodbyGeod(X, k, m, d) given in Ref. [1] is ineffective. The algorithm is as follows.

Algorithm 1. OptimizeNeighborhoodbyGeod(X, k, m, d).

 $/* X = x_i$ be the high dimensional data set, k be the neighborhood size, m be the scope for locally estimating geodesic distances, d be the dimension of the embedding space, and m < k. The output is the optimized neighborhood set $N = \{N(x_i)\}$ for all points */

- (1) Calculate the neighborhood $N(x_i)$ for any point x_i using Euclidean distance d_e , where $N(x_i)$ is sorted ascendingly. Let $d_g(x_i, x_i) = d_e(x_i, x_i)$ for the pairs of all points.
- (2) For i = 1 to |X|, where |X| is the number of points in *X*.
- (3) \cdot For j = 1 to k
- (4) ... Select *j*th point from $N(x_i)$, denoted as x_{ij}
- (5) \cdots For p = 1 to m
- (6) ... Select *p*th point from $N(x_{ij})$, denoted as x_{ijp}
- (7) \cdots If $d_g(x_i, x_{ij}) + d_g(x_{ij}, x_{ijp}) < d_g(x_i, x_{ik})$ and $x_{ijp} \notin N(x_i)$ and parent $(x_{ijp}) \in N(x_i)$
- (8) \cdots Delete x_{ik} from $N(x_i)$
- (9) Insert x_{ijp} into $N(x_i)$ ascendingly



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Fig. 1. Example to optimizing the neighborhood of the point *x*.

- $\cdots d_g(x_i, x_{ijp}) = d_g(x_i, x_{ij}) + d_g(x_{ij}, x_{ijp})$ $\cdots Let \ j = 1 \text{ and break}$ (10)
- (11)
- (12) \cdots End
- (13)·· End
- (14)· End
- (15)End

 $N = N(x_i)$ be the optimized neighborhood for all points in X (16)

In the step 1, since $d_g(x_i, x_i) = d_e(x_i, x_i)$ for the pairs of all points, i.e. all the local geodesic distances are initialized to corresponding Euclidean distances. According to the analysis on the example, the condition of step 7 is never satisfied, and the block from steps 8 to 11 is never executed. Consequently, the algorithm is ineffective.

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